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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-37

First Inventor Pascal E. Garrido

Title DRIVE NUT AND SCREW.....

Express Mail Label No. EU288429194US

9270 U.S. P.T.O.  
10/697539



## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 10/015,445.....

Prior application information:

Examiner MARSH, STEVEN M

Art Unit: 3632

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name	Casimir R. Kiczek		
Address	Dura Automotive Systems, Inc. 2791 Research Drive		
City	Rochester Hills	State	Michigan
Country	United States	Telephone	248-299-7566
		Fax	248-299-2820

Name (Print/Type)	Casimir R. Kiczek	Registration No. (Attorney/Agent)	28,865
Signature		Date	10/29/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**VIA EXPRESS MAIL RECEIPT NO. EU288429194US**

Mail Stop – Patent App.  
U.S. Patent and Trademark Office  
2011 South Clark Place  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Re: Continuation in part of United States Serial No. 10/015,445  
Title: **DRIVE NUT AND SCREW FOR SEAT ADJUSTER**  
Inventor(s): Pascal E. Garrido  
Attorney Docket No.: 01-37

Dear Sir:

Dura submits the following documents (in reference to the above identified invention), as a Continuation-in-Part of United States Patent Application No. 10/015,445.

An Advisory action in connection to serial number 10/015,445 was mailed on September 30, 2003.

Enclosed please find the following documents pertaining to the above identified:


- 1.] Cover Letter (1 page),
- 2.] Specifications, claims, and abstract (14 pages),
- 3.] Utility Patent App. Transmittal PTO/SB/05 (1 page),
- 4.] Recordation w/ Assignment document (2 pages),
- 5.] Declaration and Power of Attorney (1 page),
- 6.] Formal Drawings (8 sheets),
- 7.] Fee Transmittal Form (1 page), and
- 8.] Certificate of Express Mail Receipt No. **EU288429194US**.

I authorize that the amount of 1,006.00 be taken directly from Dura Deposit Account No. 500865. Please credit any over payments, and charge any extra fees I have not included that come due during the course of this filing.

Please notice that I have included the fee amount of \$110.00 dollars, for the charge of the one month fee extension, which results from the Advisory action mailed on September 30, 2003.

Also included is our version of a return postcard, please acknowledge receipt upon arrival and return to us for our filing purpose.

October 29, 2003

Sincerely,  
  
Jennifer R. Sinkovitch  
IP Paralegal

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$ 1,006.00)

Complete if Known

Application Number

Filing Date

First Named Inventor

PASCAL E. GARRIDO

Examiner Name

Group / Art Unit

Attorney Docket No.

01-37

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

500865

Deposit Account Name

DURA Automotive

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	170.00
106 310	206 155	Design filing fee	0.00
107 480	207 240	Plant filing fee	0.00
108 690	208 345	Reissue filing fee	0.00
114 150	214 75	Provisional filing fee	0.00

SUBTOTAL (1) (\$ 770.00)

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	20** = 0	X 0 = 0	0
3	3** = 1	X 86.00 = 86.00	86.00
Multiple Dependent		0	0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 86.00)

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	0
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0
139 130	139 130	Non-English specification	0
147 2,520	147 2,520	For filing a request for reexamination	0
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0
115 110	215 55	Extension for reply within first month	110.00
116 380	216 190	Extension for reply within second month	0
117 870	217 435	Extension for reply within third month	0
118 1,360	218 680	Extension for reply within fourth month	0
128 1,850	228 925	Extension for reply within fifth month	0
119 300	219 150	Notice of Appeal	0
120 300	220 150	Filing a brief in support of an appeal	0
121 260	221 130	Request for oral hearing	0
138 1,510	138 1,510	Petition to institute a public use proceeding	0
140 110	240 55	Petition to revive - unavoidable	0
141 1,210	241 605	Petition to revive - unintentional	0
142 1,210	242 605	Utility issue fee (or reissue)	0
143 430	243 215	Design issue fee	0
144 580	244 290	Plant issue fee	0
122 130	122 130	Petitions to the Commissioner	0
123 50	123 50	Petitions related to provisional applications	0
126 240	126 240	Submission of Information Disclosure Stmt	0
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0
Other fee (specify)			0
Other fee (specify)			0

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 150.00)

### SUBMITTED BY

Name (Print/Type)

CASIMIR R. Kiczek

Registration No. (Attorney/Agent)

28 865

Signature

*Casimir R. Kiczek*

Complete (if applicable)

Telephone

248-299-7566

Date

10-29-03

### WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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elivery eekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$

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EXPRESS MAIL. **FOR PICKUP OR TRACKING CALL 1-800-222-1811** [www.usps.com](http://www.usps.com)

I hereby certify that the above application for United States Letters Patent entitled:  
"DRIVE NUT AND SCREW FOR A SEAT ADJUSTER."

- 1.] Fee Transmittal Form (1 page),
- 2.] Cover Letter (1 page),
- 3.] Utility Patent App. Transmittal (1 page),
- 4.] Recordation form w/ Assignment document (2 pages),
- 5.] Declaration and Power of Attorney (1 page),
- 6.] Specifications, claims, and abstract (14 pages)
- 7.] Formal Drawings (8 sheets); and
- 8.] Certificate of Express Mailing Receipt No. EU288429194US.

Are being mailed via Express mail Receipt No. EU28842919US, addressed to: 2011 South Clark Place, Mail Stop-Patent App., Crystal Plaza Two, Lobby Room 1B03, Arlington, Virginia 22202, on this 29<sup>th</sup> day of October 2003.

Signature:

Date: 10/29/03